

1AP7 Rec'd PCT/PTO 14 JUN 2006

PTO-1390 (Rev. 07-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 18733/00240
INTERNATIONAL APPLICATION NO. PCT/JP2004/018425		INTERNATIONAL FILING DATE 03 December 2004
TITLE OF INVENTION ELECTRIC POWER STEERING DEVICE		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/582931 PRIORITY DATE CLAIMED 16 December 2003
APPLICANT(S) FOR DO/EO/US Hideyuki KOBAYASHI, Toru SAKAGUCHI, Takeshi HARA and Sakae NEJO		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <div style="margin-left: 20px;">           a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).            b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.            c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).         </div>		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <div style="margin-left: 20px;">           a. <input checked="" type="checkbox"/> is attached hereto.            b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).         </div>		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <div style="margin-left: 20px;">           a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).            b. <input type="checkbox"/> have been communicated by the International Bureau.            c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.            d. <input checked="" type="checkbox"/> have not been made and will not be made.         </div>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/582931</b>		INTERNATIONAL APPLICATION NO. PCT/JP2004/018425		ATTORNEY'S DOCKET NUMBER 18733/00240	
20. Other items or information:  Submission of Formal Drawings, Figs. 1-9 (9 sheets)					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$ 200	
All other situations..... \$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100				\$ 400	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400					
All other situations..... \$500					
TOTAL OF 21, 22 and 23 =				900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
41 - 100 =	0 /50 =	0	x \$250	\$ 0	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	12 - 20 =	0	x \$ 50	\$ 0	
Independent claims	2 - 3 =	0	x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360	
TOTAL OF ABOVE CALCULATIONS =				\$ 360	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1260	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0	
TOTAL NATIONAL FEE =				\$ 1260	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40	
TOTAL FEES ENCLOSED =				\$ 1300	
				Amount to be refunded:	\$
				Amount to be charged	\$

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- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 18-1260 in the amount of \$ 1260 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas N. Tarnay, Esq.  
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SIGNATURE

Douglas A. Sorensen

NAME

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FOUNDED 1866

June 14, 2006

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DERRICK GORDON

Name of Person Mailing Paper or Fee

Signature

JUNE 14, 2006

Date of Signature

Re: New U.S. National Stage Patent Application Transmittal of  
PCT Application No. PCT/JP2004/018425  
International Filing Date: December 3, 2004  
For: ELECTRIC POWER STEERING DEVICE  
By: Hideyuki KOBAYASHI, Toru SAKAGUCHI, Takeshi HARA and  
Sakae NEJO  
Attorney Docket: 18733/00240

Dear Sir:

This National Phase Patent Application Transmittal of PCT patent application  
PCT/JP2004/018425 is submitted in connection with ELECTRIC POWER STEERING  
DEVICE, by Hideyuki KOBAYASHI, Toru SAKAGUCHI, Takeshi HARA and Sakae NEJO,  
inventors.

Enclosed herewith are the following:

**APPLICATION ELEMENTS:**

- (1) Form PTO-1390 "Transmittal letter to the United States Designated/Elected  
Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371" (2 pages, in  
duplicate);
- (2) Submission of English Translation of International Application  
PCT/JP/2004/018425 as Originally filed on December 3, 2004 (1 page) including  
Specification (32 pages, including 8 claims and an abstract); and

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Page 2

- (3) Submission of Formal Drawings (2 sheets), with Formal Drawings (9 sheets, Figs. 1-9).

**ACCOMPANYING APPLICATION PARTS:**

- (4) Preliminary Amendment (3 pages);
- (5) Information Disclosure Statement (3 pages);
- (6) PTO-1449 Form (1 page);
- (7) Copies of nine (9) references cited in the Information Disclosure Statement;
- (8) Submission of copy of Assignment (2 pages) of the invention to NSK LTD., with attached Recordation Form Cover Sheet (1 page) (in duplicate);and
- (9) One Return Receipt Post Card each for the following grouping of items: (1), (2), (3), (4), (5)-(7), and (8) above.

All correspondence is to be directed to the address associated with the Customer Number 24731.

Respectfully submitted,

By: \_\_\_\_\_



Douglas A. Sorensen  
Registration No. 31,570  
Attorney for Applicants

DAS/llb  
Enclosures

10/582931

AP3 Rec'd PCT/PTO 14 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Serial No.: To Be Assigned  
U.S. application of: Hideyuki KOBAYASHI, Toru SAKAGUCHI, Takeshi  
HARA and Sakae NEJO  
For: ELECTRIC POWER STEERING DEVICE  
Confirmation No.: To Be Assigned  
Customer No.: 24731  
Docket No.: 18733/00240  
35 U.S.C. § 371 Filing Date: Concurrently  
PCT No.: PCT/JP2004/018425  
Intl. Filing Date: 03 December 2004  
Priority Date: 16 December 2003  
Group Art Unit: International Division  
Examiner: To Be Assigned

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DERRICK GORDON

Name of Person Mailing Paper or Fee

Signature

JUNE 14, 2006

Date of Signature

**SUBMISSION OF FORMAL DRAWINGS**

Submitted herewith are Formal Drawings, Figs. 1 through 9 (9 sheets) for filing in  
the above-referenced U.S. National Stage Application.

Respectfully submitted,

By:



Douglas A. Sorensen  
Registration No. 31,570  
Attorney for Applicants

Attorney Docket No. 18733/00240

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DAI 354998v.1

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